

Aspects of quantum simulation of the Fermi-Hubbard model

(arXiv:2212.07556 and arXiv:2306.10603)

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IPAM Workshop

”Mathematical and Computational Challenges in Quantum Computing”

joint work with Ayse Kotil, Rahul Banerjee, Qunsheng Huang, Ansgar Schubert



TUM Uhrenturm



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News MQV Consortia Lighthouse Projects

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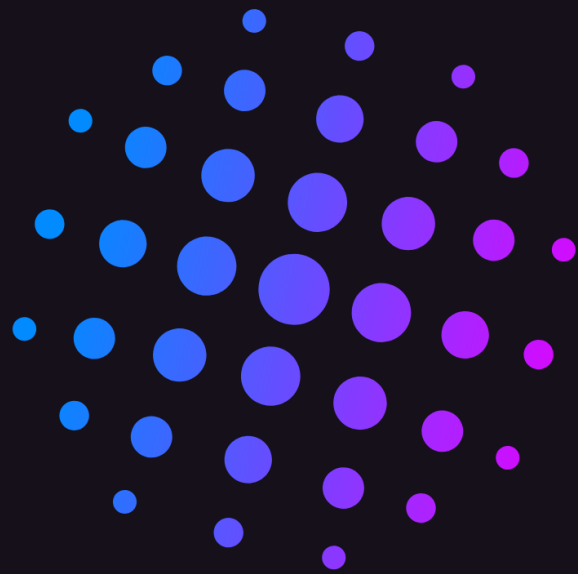
Upcoming Events

Open Day and "Maustag" at Max Planck Institute of Quantum Optics

📅 03 October 2023 - 10:00

Public

On 3 October, the Max Planck Institute of Quantum Optics (MPQ), Munich Center for



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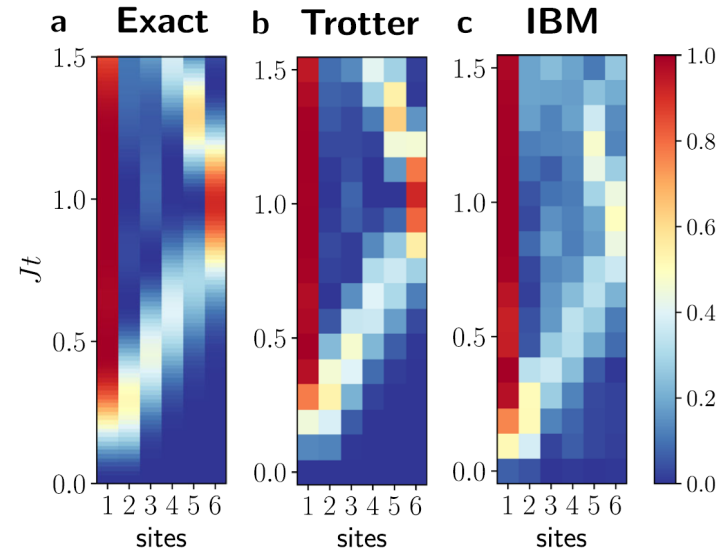
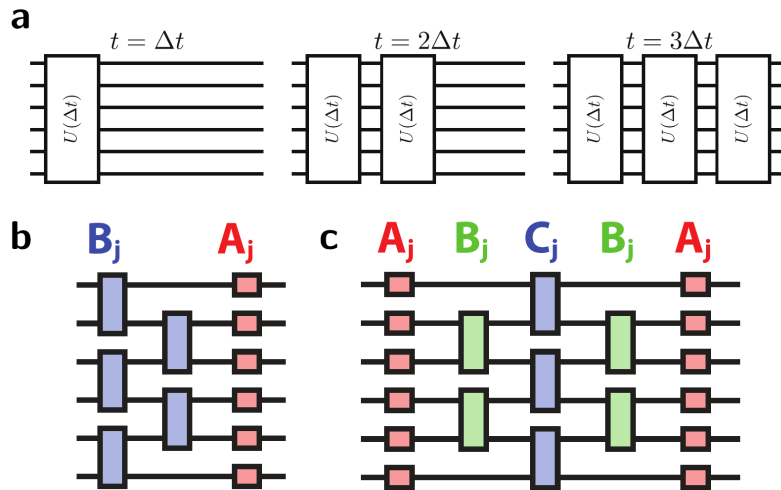
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Motivation: Quantum simulation

Goal: realize time evolution operator e^{-iHt} of target Hamiltonian H



Smith, Kim, Pollmann, Knolle. “Simulating quantum many-body dynamics on a current digital quantum computer”. npj Quantum Information 5, 106 (2019)

B. Chiaro et al. “Growth and preservation of entanglement in a many-body localized system”. arXiv:1910.06024

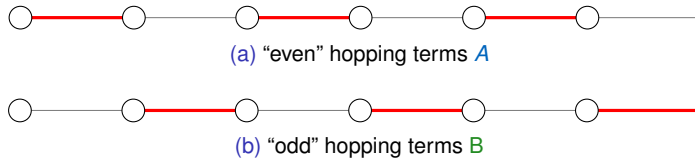
Trotter error with commutator scaling for the Fermi-Hubbard model

(arXiv:2306.10603)

Lie-Trotter product formulas

Example: even-odd partitioning of a Hamiltonian H :

$$H = A + B, \quad A = H_{\text{even}}, \quad B = H_{\text{odd}}$$



Lie-Trotter:

$$\mathcal{S}_1(t) = e^{-itB} e^{-itA} \rightsquigarrow e^{-itH} = \mathcal{S}_1(t) + \mathcal{O}(t^2)$$

Strang (second-order Suzuki):

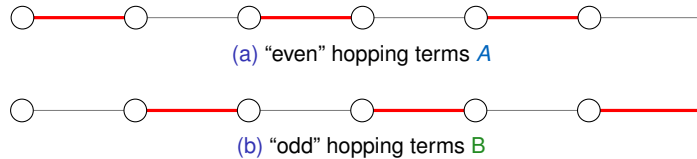
$$\mathcal{S}_2(t) = e^{-i\frac{t}{2}A} e^{-itB} e^{-i\frac{t}{2}A} \rightsquigarrow e^{-itH} = \mathcal{S}_2(t) + \mathcal{O}(t^3)$$

Higher-order generalizations possible

Lie-Trotter product formulas

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Higher-order generalizations possible

Appearance of **commutators**:

$$\begin{aligned} \frac{d}{dt} \mathcal{S}_1(t) &= -iB \mathcal{S}_1(t) + e^{-itB} (-iA) e^{-itA} \\ &= -i(A + B) \mathcal{S}_1(t) + [e^{-itB}, -iA] e^{-itA} \\ &= -iH \mathcal{S}_1(t) + [e^{-itB}, -iA] e^{-itA} \end{aligned}$$

\rightsquigarrow variation-of-parameters formula:

$$\mathcal{S}_1(t) = e^{-itH} + \int_0^t d\tau e^{-i(t-\tau)H} [e^{-itB}, -iA] e^{-i\tau A}$$

Resolve matrix exponential inside commutator:

$$\begin{aligned} [e^{tX}, Y] &= e^{tX} \int_0^t d\tau e^{-\tau X} [X, Y] e^{\tau X} \\ &= \int_0^t d\tau e^{\tau X} [X, Y] e^{-\tau X} e^{tX} \end{aligned}$$

Higher-order error bounds with small prefactors

Theorem (Higher-order error bounds with small prefactors, arXiv:2306.10603)

Let \mathcal{S}_p be a product formula of order p in the representation $\mathcal{S}_p(t) = e^{-itA_K} \dots e^{-itA_1}$, and let $s \in \{1, \dots, K\}$. Then

$$\begin{aligned} & \|\mathcal{S}_p(t) - e^{-itH}\| \\ & \leq \frac{t^{p+1}}{(p+1)!} \left(\sum_{j=2}^s \sum_{\substack{q_j + \dots + q_s = p \\ q_j \neq 0}} \binom{p}{q_j, \dots, q_s} \left\| \text{ad}_{A_s}^{q_s} \dots \text{ad}_{A_j}^{q_j} B_j \right\| + \sum_{j=s+1}^K \sum_{\substack{q_{s+1} + \dots \\ + q_j = p \\ q_j \neq 0}} \binom{p}{q_{s+1}, \dots, q_j} \left\| \text{ad}_{A_{s+1}}^{q_{s+1}} \dots \text{ad}_{A_j}^{q_j} B_j \right\| \right) \end{aligned}$$

with

$$B_j = \sum_{\ell=1}^{j-1} A_\ell, \quad j = 2, \dots, K.$$

Note: $\text{ad}_A B = [A, B]$ denoting the *adjoint action*, and ad_A^q its q -fold application, e.g., $\text{ad}_A^3 B = [A, [A, [A, B]]]$

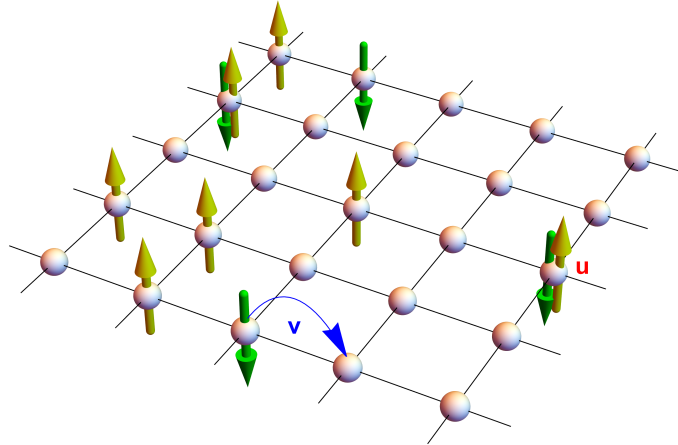
Generalizes Childs et al. (2021), Appendix M

A. Schubert, C. B. Mendl “Trotter error with commutator scaling for the Fermi-Hubbard model”. arXiv:2306.10603 (2023)

A. M. Childs et al. “Theory of Trotter error with commutator scaling”. Phys. Rev. X 11, 011020 (2021)

Fermi-Hubbard model

$$H_{\text{FH}} = v \sum_{\langle i,j \rangle, \sigma} \left(a_{i\sigma}^\dagger a_{j\sigma} + a_{j\sigma}^\dagger a_{i\sigma} \right) + u \sum_{i \in \Lambda} n_{i\uparrow} n_{i\downarrow}$$



hopping term: $h_{ij\sigma} = a_{i\sigma}^\dagger a_{j\sigma} + a_{j\sigma}^\dagger a_{i\sigma}$, number operator: $n_{i\sigma} = a_{i\sigma}^\dagger a_{i\sigma}$, signed hopping term: $\tilde{h}_{ij\sigma} = a_{i\sigma}^\dagger a_{j\sigma} - a_{j\sigma}^\dagger a_{i\sigma}$

Fermi-Hubbard model on a one-dimensional lattice

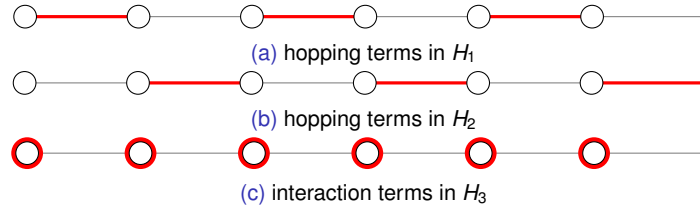
Lattice $\Lambda = \mathbb{Z}/L$ with L even and $\Lambda' = (2\mathbb{Z})/L$; $H_{\text{FH}} = H_1 + H_2 + H_3$ with

$$H_1 = v \sum_{i \in \Lambda'} \sum_{\sigma \in \{\uparrow, \downarrow\}} h_{i, i+1, \sigma},$$

$$H_2 = v \sum_{i \in \Lambda'} \sum_{\sigma \in \{\uparrow, \downarrow\}} h_{i-1, i, \sigma},$$

$$H_3 = u \sum_{i \in \Lambda'} (n_{i, \uparrow} n_{i, \downarrow} + n_{i+1, \uparrow} n_{i+1, \downarrow}).$$

Each H_γ is translation invariant with respect to Λ' , i.e., by a shift of two sites.



Evaluating commutators

Commutators of elementary operators:

Number operators always commute: for all lattice sites $i, j \in \Lambda$ and $\sigma, \tau \in \{\uparrow, \downarrow\}$,

$$[n_{i\sigma}, n_{j\tau}] = 0.$$

For $i, j, k \in \Lambda$ with $i \neq j$ and $j \neq k$ and $\sigma \in \{\uparrow, \downarrow\}$,

$$[h_{ij\sigma}, h_{jk\sigma}] = \tilde{h}_{ik\sigma},$$

$$[\tilde{h}_{ij\sigma}, \tilde{h}_{jk\sigma}] = \tilde{h}_{ik\sigma},$$

$$[h_{ij\sigma}, \tilde{h}_{jk\sigma}] = \begin{cases} 2(n_{i\sigma} - n_{j\sigma}), & i = k \\ h_{ik\sigma}, & i \neq k \end{cases}$$

as well as, for $i \neq j$,

$$[h_{ij\sigma}, n_{j\sigma}] = \tilde{h}_{ij\sigma},$$

$$[\tilde{h}_{ij\sigma}, n_{j\sigma}] = h_{ij\sigma}$$

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$$[h_{ij\sigma}, h_{jk\sigma}] = \tilde{h}_{ik\sigma},$$

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$$[h_{ij\sigma}, \tilde{h}_{jk\sigma}] = \begin{cases} 2(n_{i\sigma} - n_{j\sigma}), & i = k \\ h_{ik\sigma}, & i \neq k \end{cases}$$

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$$[\tilde{h}_{ij\sigma}, n_{j\sigma}] = h_{ij\sigma}$$

General commutator relations:

$$[A, B_1 + \dots + B_n] = [A, B_1] + \dots + [A, B_n]$$

and

$$\begin{aligned} [A, B_1 \dots B_n] &= [A, B_1]B_2 \dots B_n \\ &\quad + B_1[A, B_2]B_3 \dots B_n + \dots \\ &\quad + B_1 \dots B_{n-1}[A, B_n] \end{aligned}$$

\rightsquigarrow commutators can be expressed solely in terms of sums and products of $h_{ij\sigma}$, $\tilde{h}_{ij\sigma}$ and $n_{i\sigma}$

Sublattice Λ' translations:

$$\left[\sum_{i \in \Lambda'} A_i, \sum_{k \in \Lambda'} B_k \right] = \sum_{i \in \Lambda'} \left[A_i, \sum_{\ell \in \Lambda'} B_{i+\ell} \right]$$

https://github.com/qc-tum/fermi_hubbard_commutators

Fermi-Hubbard model on a one-dimensional lattice (cont.)

Nested commutators (example):

$$[H_1, [H_2, H_1]] = 2v^3 \sum_{i \in \Lambda'} \sum_{\sigma \in \{\uparrow, \downarrow\}} (h_{i-2, i+1, \sigma} - h_{i-1, i, \sigma}),$$

$$[H_2, [H_3, H_1]] = v^2 u \sum_{i \in \Lambda'} \sum_{\sigma \in \{\uparrow, \downarrow\}} \left((h_{i-1, i+1, \sigma} - h_{i, i+2, \sigma}) \cdot (n_{i, \bar{\sigma}} - n_{i+1, \bar{\sigma}}) + \tilde{h}_{i-1, i, \sigma} \cdot \tilde{h}_{i, i+1, \bar{\sigma}} + \tilde{h}_{i, i+1, \sigma} \cdot \tilde{h}_{i+1, i+2, \bar{\sigma}} \right),$$

Upper bound on the spectral norm *per lattice site*:

$$\frac{1}{|\Lambda|} \|[H_1, [H_2, H_1]]\| \leq |v|^3 \sum_{\sigma \in \{\uparrow, \downarrow\}} \|h_{-2, 1, \sigma} - h_{-1, 0, \sigma}\| = 4|v|^3$$

Final error bound for Strang splitting:

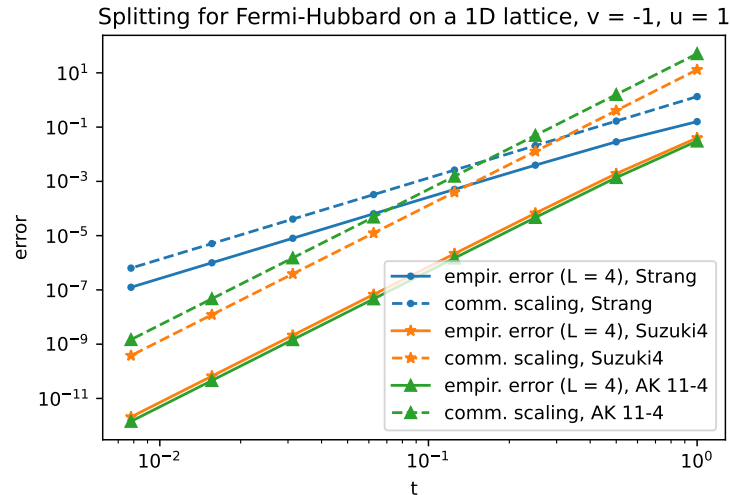
$$\frac{1}{|\Lambda|} \|\mathcal{S}_2(t) - e^{-itH_{\text{FH}}}\| \leq \frac{t^3}{6} (3|v|^3 + 4|v|^2|u| + |v||u|^2)$$

Fermi-Hubbard model on a one-dimensional lattice (cont.)

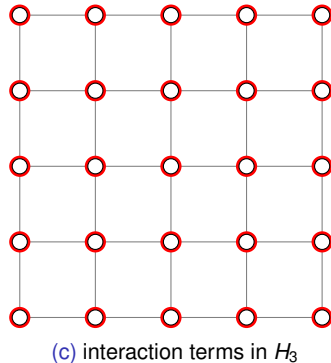
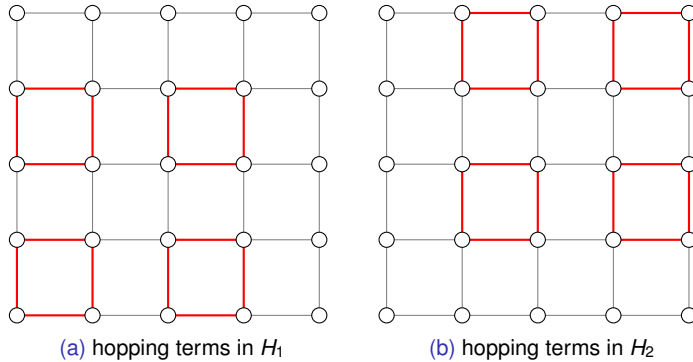
Final error bound for [fourth-order Suzuki method](#):

$$\frac{1}{|\Lambda|} \|\mathcal{S}_4(t) - e^{-itH_{\text{FH}}}\| \leq t^5 (1.3405|v|^5 + 8.8233|v|^4|u| + 2.3945|v|^3|u|^2 + 0.4137|v|^2|u|^3 + 0.06001|v||u|^4)$$

Comparison with empirical error:



Fermi-Hubbard model on a two-dimensional square lattice



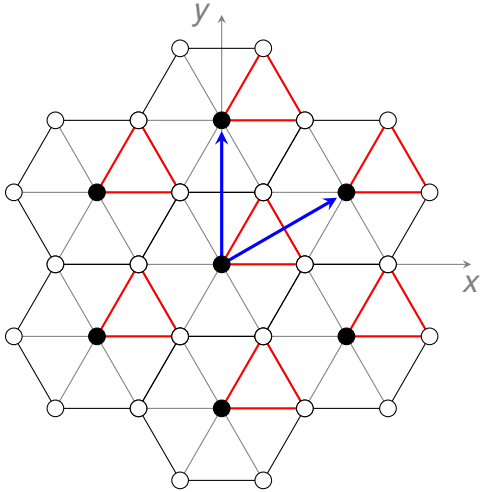
For Strang splitting:

$$\frac{1}{|\Lambda|} \|\mathcal{S}_2(t) - e^{-itH_{\text{FH}}}\| \leq \frac{t^3}{6} (4.4142|v|^3 + 8.0889|v|^2|u| + 1.3062|v||u|^2)$$

For fourth-order Suzuki formula:

$$\frac{1}{|\Lambda|} \|\mathcal{S}_4(t) - e^{-itH_{\text{FH}}}\| \leq t^5 (2.1485|v|^5 + 92.1642|v|^4|u| + 14.3445|v|^3|u|^2 + 1.0712|v|^2|u|^3 + 0.07938|v||u|^4)$$

Fermi-Hubbard model on a triangular lattice



For Strang splitting:

$$\begin{aligned} \frac{1}{|\Lambda|} \|\mathcal{S}_2(t) - e^{-itH_{FH}}\| \\ \leq \frac{t^3}{6} (39.4721|v|^3 + 20.1594|v|^2|u| + 1.9546|v||u|^2) \end{aligned}$$

For fourth-order Suzuki formula:

$$\begin{aligned} \frac{1}{|\Lambda|} \|\mathcal{S}_4(t) - e^{-itH_{FH}}\| \leq t^5 (124.815|v|^5 + 493.917|v|^4|u| \\ + 60.4106|v|^3|u|^2 + 2.9855|v|^2|u|^3 + 0.1206|v||u|^4) \end{aligned}$$

A. Schubert, C. B. Mendl "Trotter error with commutator scaling for the Fermi-Hubbard model". arXiv:2306.10603 (2023)

Riemannian quantum circuit optimization for Hamiltonian simulation

(arXiv:2212.07556)

Ansatz brick wall circuit

Goal: approximate time evolution operator

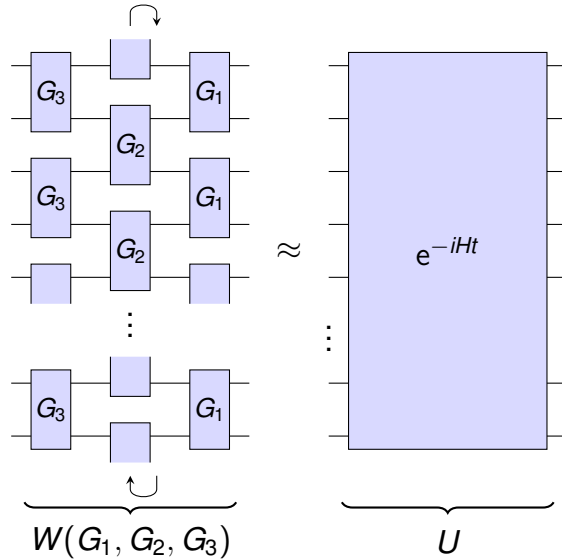
$$U = e^{-iHt}$$

Optimize gates in brick wall Ansatz circuit, assuming translation invariance:

$$G_{\text{opt}} = \underset{G \in \mathcal{U}(m)^{\times n}}{\operatorname{argmin}} \|W(G) - U\|_{\mathbb{F}}^2$$

with $\mathcal{U}(m)$: unitary $m \times m$ matrices

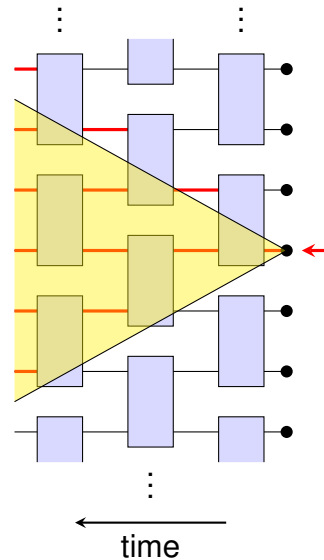
Circuit topology inherited from Trotter splitting



A. Kotil, R. Banerjee, Q. Huang, C. B. Mendl. “Riemannian quantum circuit optimization for Hamiltonian simulation”. arXiv:2212.07556 (2022)

Light cone considerations

For translation invariant systems, only need to optimize up to system sizes L containing the **causal light cone** of physical correlations (Lieb-Robinson bounds)



- M. Heyl, P. Hauke, P. Zoller. “Quantum localization bounds Trotter errors in digital quantum simulation”. *Sci. Adv.* 5, eaau8342 (2019)
- J. Haah et al.. “Quantum algorithm for simulating real time evolution of lattice Hamiltonians”. *SIAM J. Comput.* FOCS18, 250–284 (2021)
- R. Mansuroglu et al. “Variational Hamiltonian simulation for translational invariant systems via classical pre-processing”. *Quantum Sci. Technol.* 8 (2023)
- A. Kotil, R. Banerjee, Q. Huang, C. B. Mendl. “Riemannian quantum circuit optimization for Hamiltonian simulation”. *arXiv:2212.07556* (2022)

Target function

Goal:

$$G_{\text{opt}} = \underset{G \in \mathcal{U}(m)^{\times n}}{\operatorname{argmin}} \|W(G) - U\|_{\text{F}}^2$$

with $U = e^{-iHt}$ and brick wall circuit $W(G)$
 \rightsquigarrow equivalent to minimizing

$$f : \mathcal{U}(m)^{\times n} \rightarrow \mathbb{R}, \quad f(G) = -\operatorname{Re} \operatorname{Tr}[U^\dagger W(G)]$$

with $\mathcal{U}(m)$: manifold of unitary $m \times m$ matrices

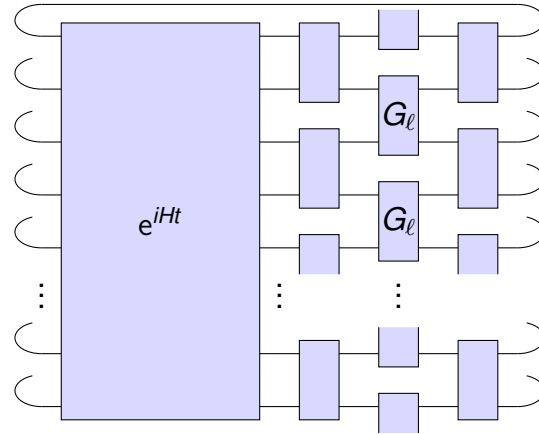
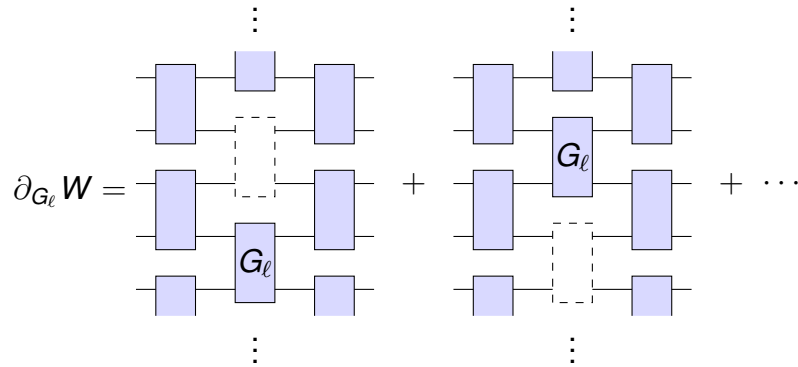


Figure: Tensor diagram representation of $\operatorname{Tr}[U^\dagger W(G)]$.

A. Kotil, R. Banerjee, Q. Huang, C. B. Mendl. “Riemannian quantum circuit optimization for Hamiltonian simulation”. arXiv:2212.07556 (2022)

Gradient computation on manifold of unitary matrices

Derivative of W with respect to G_ℓ :



Projecting gradient vectors onto unitary tangent space:

$$\text{grad } f(V) = P_V \text{grad } \bar{f}(V)$$

with $P_V X = V \text{skew}(V^\dagger X)$ and $\text{skew}(A) = \frac{1}{2}(A - A^\dagger)$

Second derivative (gradient as vector field):

$$\nabla_x \xi = P_V(D\xi(V)[X])$$

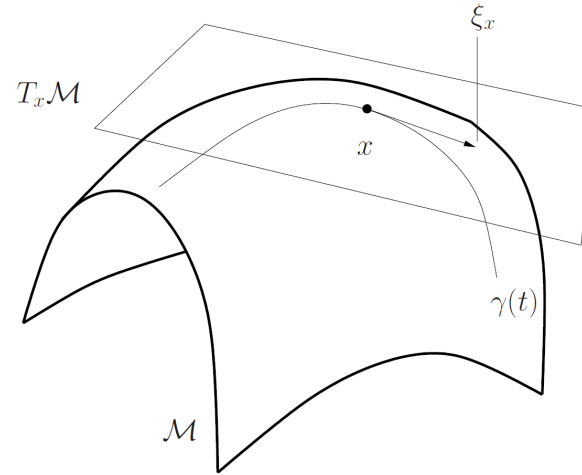


Figure: Source: Absil (2008)

Riemannian trust-region algorithm

Riemannian trust-region algorithm based on quadratic approximation:

$$\hat{m}_G(X) = f(G) + \langle \text{grad } f(G), X \rangle + \frac{1}{2} \langle \text{Hess } f(G)[X], X \rangle$$

for $X \in T_G \mathcal{U}^{\times n}$, with the Riemannian Hessian

$$\text{Hess } f(G)[X] = \nabla_X \text{grad } f(G)$$

Retraction on \mathcal{U} : polar decomposition ($V \in \mathcal{U}$):

$$R : T\mathcal{U} \rightarrow \mathcal{U}, \quad R_V(\xi) = q_{\text{polar}}(V + \xi),$$

with $q_{\text{polar}}(A)$: unitary matrix from polar decomposition of A

<https://github.com/qc-tum/rqcopt>

Algorithm 10 Riemannian trust-region (RTR) meta-algorithm

Require: Riemannian manifold (\mathcal{M}, g) ; scalar field f on \mathcal{M} ; retraction R from $T\mathcal{M}$ to \mathcal{M} as in Definition 4.1.1.

Parameters: $\bar{\Delta} > 0$, $\Delta_0 \in (0, \bar{\Delta})$, and $\rho' \in [0, \frac{1}{4})$.

Input: Initial iterate $x_0 \in \mathcal{M}$.

Output: Sequence of iterates $\{x_k\}$.

```

1: for  $k = 0, 1, 2, \dots$  do
2:   Obtain  $\eta_k$  by (approximately) solving (7.6);
3:   Evaluate  $\rho_k$  from (7.7);
4:   if  $\rho_k < \frac{1}{4}$  then
5:      $\Delta_{k+1} = \frac{1}{4}\Delta_k$ ;
6:   else if  $\rho_k > \frac{3}{4}$  and  $\|\eta_k\| = \Delta_k$  then
7:      $\Delta_{k+1} = \min(2\Delta_k, \bar{\Delta})$ ;
8:   else
9:      $\Delta_{k+1} = \Delta_k$ ;
10:  end if
11:  if  $\rho_k > \rho'$  then
12:     $x_{k+1} = R_x \eta_k$ ;
13:  else
14:     $x_{k+1} = x_k$ ;
15:  end if
16: end for

```

T. Steihaug. “The conjugate gradient method and trust regions in large scale optimization”. SIAM J. Numer. Anal. 20, 626–637 (1983)

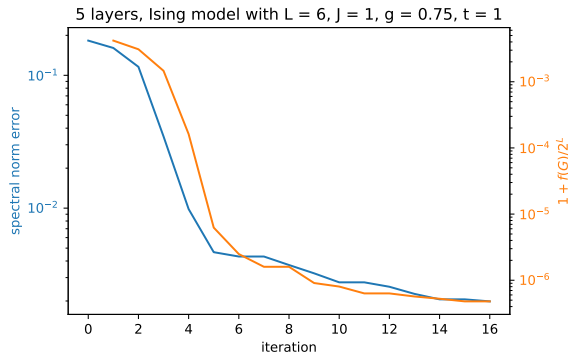
P.-A. Absil, R. Mahony, R. Sepulchre. “Optimization Algorithms on Matrix Manifolds”. Princeton University Press (2008)

Optimization results and comparison with Trotter splitting

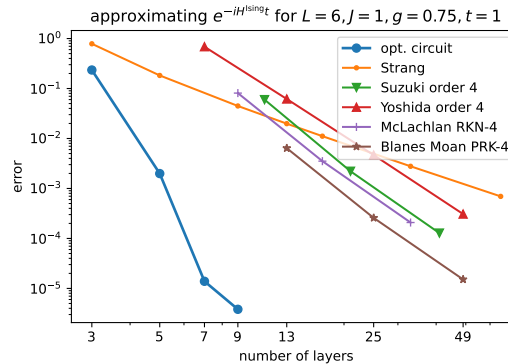
Transverse-field Ising model Hamiltonian on 1D lattice $\mathbb{Z}_J(L)$ and periodic boundary conditions:

$$H^{\text{Ising}} = \sum_{j=0}^{L-1} (JZ_j Z_{j+1} + gX_j)$$

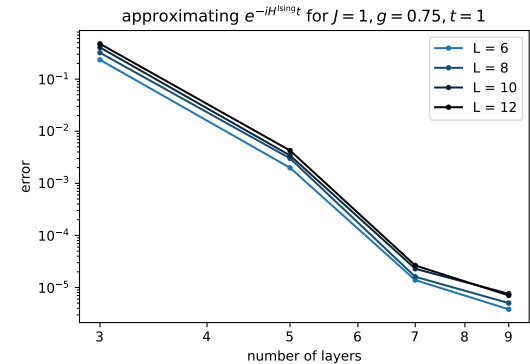
(here $J = 1$, $g = 0.75$, time step $t = 1$, optimization for system size $L = 6$)



(a) Progress of Riemannian trust-region iteration



(b) Approximation error and comparison with Trotter



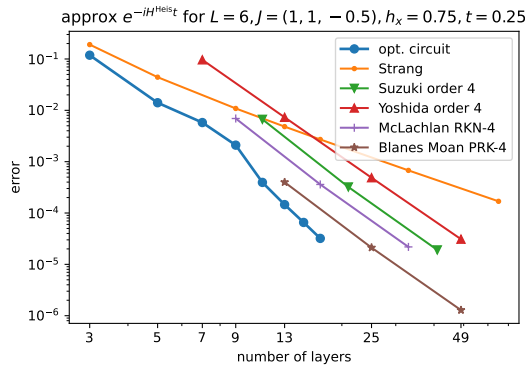
(c) Extrapolation to larger systems

Optimization results and comparison with Trotter splitting

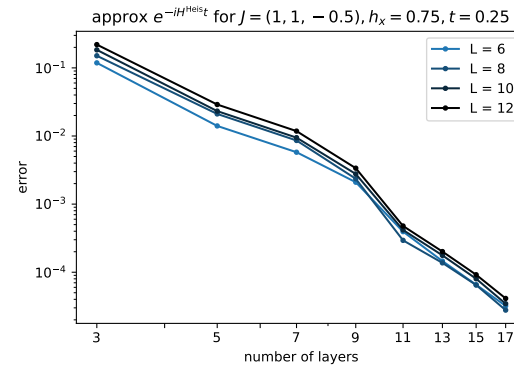
Heisenberg-type Hamiltonian on 1D lattice $\mathbb{Z}/(L)$ and periodic boundary conditions:

$$H^{\text{Heis}} = \sum_{j=0}^{L-1} \sum_{\alpha=1,2,3} (J_{\alpha} \sigma_j^{\alpha} \sigma_{j+1}^{\alpha} + h_{\alpha} \sigma_j^{\alpha})$$

(here $\vec{J} = (1, 1, -\frac{1}{2})$, $\vec{h} = (\frac{3}{4}, 0, 0)$, time step $t = \frac{1}{4}$, optimization for system size $L = 6$)



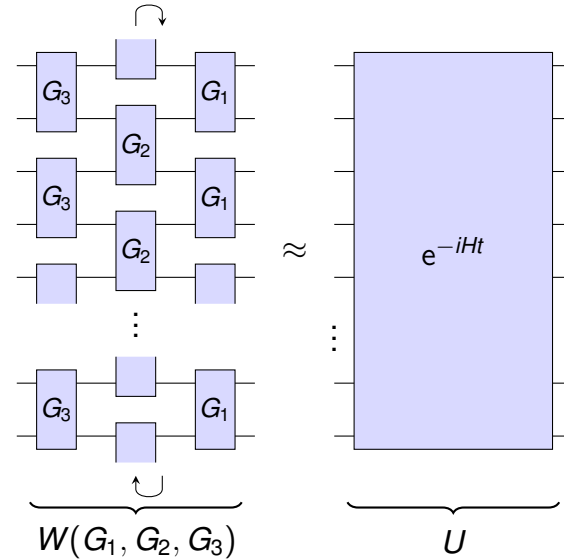
(d) Approximation error and comparison with Trotter



(e) Extrapolation to larger systems













Conclusions and outlook

- Application to Fermi-Hubbard model
 ↪ B.Sc. thesis by Peter Ridilla
- Implementation for HPC: caching, ...
 ↪ M.Sc. thesis by Fabian Putterer
- Run optimizations for 2D lattice geometries
- Generalization to non-unitary target matrices, block-encoding, ...



<https://github.com/qc-tum/rqcopt>

References

-  Absil, P.-A., Mahony, R., and Sepulchre, R. (2008). *Optimization Algorithms on Matrix Manifolds*. Princeton University Press. URL: <https://press.princeton.edu/absil>.
-  Chiaro, B. et al. (2019). “Growth and preservation of entanglement in a many-body localized system”. In: *arXiv:1910.06024*. URL: <https://arxiv.org/abs/1910.06024>.
-  Childs, A. M. et al. (2021). “Theory of Trotter error with commutator scaling”. In: *Phys. Rev. X* 11, p. 011020.
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-  Heyl, M., Hauke, P., and Zoller, P. (2019). “Quantum localization bounds Trotter errors in digital quantum simulation”. In: *Sci. Adv.* 5, eaau8342.
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